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Landis Gardner

04 December 2001

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that a true and accurate copy of the correspondence listed below is being deposited with the U.S. Postal Service in an envelope addressed to the Honorable Commissioner for Patents, United States Patent and Trademark Office, Washington, D.C. 20231, for first-class mail delivery, postage pre-paid, on this the 4th day of December, 2001.


Daniel C. Stelter, Registration No. 40,830

Honorable Assistant Commissioner for Patents
United States Patent and Trademark Office
Box PCT
Washington, D.C. 20231

**RE: RESUBMISSION OF RESPONSE TO NOTICE OF MISSING REQUIREMENTS
National Stage Application Serial No. 09/890,181 Filed on 26 July 2001**

Dear Assistant Commissioner:

Enclosed herewith, please find the Response we submitted on 22 October 2001 concerning the above-referenced application, which was filed under 35 U.S.C. 371.

The Response, which contains a proper Certificate of Mailing Under 37 C.F.R. 1.8, was filed in response to a Notice of Missing Requirements, and includes, among other items, a Declaration Under 37 C.F.R. 1.497, an Assignment of Invention and of Letters Patent, an Authorization to Charge Deposit Account, and a return-receipt postcard, which was never returned.

Applicant's undersigned counsel, who filed the enclosed materials, this day contacted the PCT Division of the Office to inquire as to the status of the instant case and was advised that the Response had not been received by the Office. Applicant's counsel was instructed to submit a copy of the Response, together with the Certificate of Mailing thereof.

Applicant's counsel respectfully submits a complete copy of the Response, together with all attachments and the original Certificate of Mailing, that was filed on 22 October 2001.

Please stamp the enclosed postcard and return it to us to indicate your receipt of the above-referenced documents. Thank you for your assistance in this matter.

With kindest regards,



Daniel C. Stelter
Counsel for Applicant
Registration No. 40,830

A UNOVA Company

4701 Marburg Avenue
Cincinnati, Ohio 45209
513.841.7032 telephone
513.841.7020 facsimile
dan_stelter@cinmach.com

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Cincinnati Machine

A **UNOVA** Company

4701 Marburg Avenue

Cincinnati, OH 45209-1025

Hon. Asst Comm'r for Patents
U.S. Patent and Trademark Office
Box PCT
Washington, D.C. 20231

PS 6B-00/000022

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PCT MAILING PROCESSING

Landis Gardner



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FEB 14 2002
Daniel C. Stelter
Section Chief
Technology Center
4761 Marburg Road
Cincinnati, Ohio 45224
513.341.7912 (ext. 2800)
513.341.7920 (residence)
dan.stelter@uspto.gov

22 October 2001
COPY

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that a true and accurate copy of the correspondence listed below is being deposited with the U.S. Postal Service in an envelope addressed to the Honorable Commissioner for Patents, United States Patent and Trademark Office, Washington, D.C. 20231, for first-class mail delivery, postage pre-paid, on this the 22^d day of October, 2001.


Daniel C. Stelter, Registration No. 40,830

Honorable Assistant Commissioner for Patents
United States Patent and Trademark Office
Box PCT
Washington, D.C. 20231

RE: RESPONSE TO NOTICE OF MISSING REQUIREMENTS (FORM PTO/DO/EO/905)
Applicants : LAYCOCK
Title : ANGLE HEAD GRINDING METHOD AND APPARATUS
Serial No. : 09/890,181
Filed on : 26 July 2001
Int'l Ser. : PCT/GB00/00022
Int'l Filed : 07 January 2000
Priority : 03 February 1999
Our Ref. : LAL-C522-US

Dear Assistant Commissioner:

Enclosed herewith for filing, please find the following:

1. Transmittal After Filing, Form PTO/SB/21, 1 sheet;
2. Fee Transmittal, Form PTO/SB/17; 1 sheet;
3. A copy of Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (Form PCT/DO/EO/905), 1 sheet;
4. A Declaration Under 37 C.F.R. § 1.63 in compliance with 37 C.F.R. § 1.497(a) and (b), 2 sheets;
5. An Assignment of Invention and of Letters Patent, 1 sheet, with Recordation Cover Sheet, Form PTO-1595, attached, 1 sheet; and,
6. An Authorization to Charge Applicant's Deposit Account No. 50-0760 in the amount of \$170 consisting of: 1) \$130 for payment of the surcharge for submitting the above-stated documents later than 30 months from the priority date; and, 2) \$40 payment of the fee for

recording of one (1) patent application assignment; and,

7. a self-addressed, postage pre-paid, return-receipt postcard.

Please stamp the enclosed postcard and return it to us to indicate your receipt of the above-referenced documents. Thank you for your assistance in this matter.

With kindest regards,



Daniel C. Stelter
Counsel for Applicant
Registration No. 40,830

EC
7-902
#5
Missing Patents
LTP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C., UNITED STATES OF AMERICA

In re Application of:

Applicant(s): **LAYCOCK**

Serial No.: **09/890,181**

Filing Date: **26 JULY 2001**

Int'l Serial No. **PCT/GB00/00022**

Int'l Filing Date: **07 JANUARY 2000**

Priority Date: **03 FEBRUARY 1999**

Entitled: **ANGLE HEAD GRINDING METHOD
AND APPARATUS**

Our File Ref.: **LAL-C522-US**

Examiner:

Group Art Unit:

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AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT

Honorable Assistant Commissioner for Patents
United States Patent and Trademark Office
Washington, D.C. 20231

Dear Assistant Commissioner:

Applicant, by and through the below-named authorized individual, hereby respectfully requests the U.S. Patent and Trademark Office to charge Applicants' deposit account no. 50-0760 in the amount of \$170 consisting of: 1) \$130 for payment of the surcharge for submitting the above-stated documents later than 30 months from the priority date; and, 2) \$40 payment of the fee for recording of one (1) patent application assignment. Applicant requests that any deficiency be charged (or overpayment be credited) to the deposit account listed above.

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

The undersigned hereby certifies that a true and accurate copy of this "Authorization to Charge Deposit Account" is being deposited with the U.S. Postal Service in an envelope addressed to the Honorable Assistant Commissioner for Patents, United States Patent & Trademark Office, Washington, D.C. 20231, for first-class mail delivery, postage pre-paid, on this the 22^d day of October, 2001.

Respectfully submitted,

MICHAEL LAYCOCK, Applicant

Date: 10/22/01



Daniel C. Stelter, Reg. No. 40,830
Counsel for Applicant

Cincinnati Machine, A UNOVA Company
4701 Marburg Avenue, Cincinnati, Ohio 45209
(513) 841-7032 phone, (513) 841-7020 fax

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C., UNITED STATES OF AMERICA

In re Application of:

Applicant(s): **LAYCOCK**

Serial No.: **09/890,181**

Filing Date: **26 JULY 2001**

Int'l Serial No. **PCT/GB00/00022**

Int'l Filing Date: **07 JANUARY 2000**

Priority Date: **03 FEBRUARY 1999**

Entitled: **ANGLE HEAD GRINDING METHOD
AND APPARATUS**

Our File Ref.: **LAL-C522-US**

Examiner:

Group Art Unit:

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**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER
35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE
(FORM PCT/DO/EO/905)**

Honorable Assistant Commissioner for Patents
United States Patent and Trademark Office
Washington, D.C. 20231

Dear Assistant Commissioner:

Now comes Applicant, by counsel, and in response to the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (Form PCT/DO/EO/905), respectfully submits the following:

1. A copy of Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (Form PCT/DO/EO/905), 1 sheet;
2. A Declaration Under 37 C.F.R. § 1.63 in compliance with 37 C.F.R. § 1.497(a)

Serial No. 09/890,181
Our Ref. LAL-C522-US

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FEB 11 2002

TECHNOLOGY CENTER 2800

and (b), 2 sheets;

3. An Assignment of Invention and of Letters Patent, 1 sheet, with Recordation Cover Sheet, Form PTO-1595, attached, 1 sheet; and,

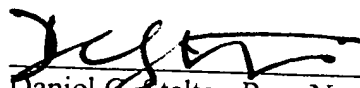
4. An Authorization to Charge Applicant's Deposit Account No. 50-0760 in the amount of \$170 consisting of: 1) \$130 for payment of the surcharge for submitting the above-stated documents later than 30 months from the priority date; and, 2) \$40 payment of the fee for recording of one (1) patent application assignment.

The undersigned hereby certifies that a true and accurate copy of the within "Response to Notification of Missing Requirements Under 35 U.S.C. 371 in the U.S. Designated/Elected Office (Form PCT/DO/EO/905)" is being deposited with the U.S. Postal Service in an envelope addressed to the Honorable Assistant Commissioner for Patents, United States Patent & Trademark Office, Washington, D.C. 20231, for first-class mail delivery, postage pre-paid, on this the 22^d day of October, 2001.

Respectfully submitted,

MICHAEL LAYCOCK, Applicant

Date: 10/22/01



Daniel G. Stelter, Reg. No. 40,830
Counsel for Applicant

Cincinnati Machine, A UNOVA Company
4701 Marburg Avenue, Cincinnati, Ohio 45209
(513) 841-7032 phone, (513) 841-7020 fax



UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED AUG 24 2001

Commissioner for Patents, Box PCT
United States Patent and Trademark Office
Washington, D.C. 20231
www.uspto.gov

U.S. APPLICATION NO. 09/890181

INVENTOR FIRST NAMED APPLICANT

ATTY. DOCKET NO.

09/890181

DANIEL C. STELTER
LANDIS GRINDING SYSTEM A UNNOVA COMPANY
C/O CINCINNATI MACHINE A UNNOVA COMPANY
4701 MARBURG AVENUE
CINCINNATI OH 45209

5611

INTERNATIONAL APPLICATION NO. 00903

I.A. FILING DATE

PRIORITY DATE

08/22/01

DATE MAILED:

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as:
- ☒ a Designated Office (37 CFR 1.494) ☒ an Elected Office (37 CFR 1.495):
 - ☒ U.S. Basic National Fee.
 - ☒ Copy of the international application.
 - ☒ Oath or Declaration of inventors(s).
 - ☒ Copy of Article 19 amendments.
 - ☒ Priority Document.
 - ☒ The International Preliminary Examination Report in English and its Annexes, if any.
 - ☒ Translation of Annexes to the International Preliminary Examination Report into English.
 - ☐ Indication of Small Entity Status.
 - ☐ Translation of the international application into English.
 - ☐ Translation of Article 19 amendments into English.
 - ☐ Other:
2. ☒ Applicant has requested early processing under 35 U.S.C. 371(f) but has not filed the following indicated items and/or the indicated items in paragraph 3 below. The Basic National Fee and the copy of the international application must be filed prior to 20 or 30 months from the priority date to avoid abandonment.
- ☐ U.S. Basic National Fee.
 - ☐ Copy of the international application.
3. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:
- ☐ a. Translation of the application into English. A processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
 - ☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
 - ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
 - ☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), properly identifying the application (preferably by the International application number and international filing date). A surcharge will be required if submitted later than the appropriate 20 or 30 months from the priority date.
 - ☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
 - ☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).
4. Additional claim fees of \$ _____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.
5. ☐ Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached PCT/DO/EO/920.

ALL OF THE ITEMS SET FORTH IN 3(a)-3(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

6. If box 3a or 3c is checked, a translation of the Annexes **MUST** be submitted no later than the time period set above or the Annexes will be cancelled. A processing fee will be required if submitted later than 20 or 30 months from the priority date.
7. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice MUST be returned with this response.

- Enclosed: ☐ PCT/DO/EO/917 ☐ Notice of Defective Translation
☐ PTO-875 ☐ PCT/DO/EO/920

FORM PCT/DO/EO/905 (March 2001)

Lamont Hunter, Paralegal
Telephone: 703 305-3686

**X Patent
Trademark**

Serial No.: 09/890,181

filed on 26 JULY 2001

Grant No.:

granted on

Docket Ref.:

LAL-C522-US

Applicant: MICHAEL LAYCOCK

Title/Mark: ANGLE HEAD GRINDING METHOD AND APPARATUS

Received the Submission Of:

Certificate of Mailing Under 37 C.F.R. § 1.8

dated 22 Oct 2001

Label No.

1. Transmittal After Filing, Form PTO/SB/21, 1 sheet;
2. Fee Transmittal, Form PTO/SB/17, 1 sheet;
3. A copy of Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (Form PCT/DO/EO/905), 1 sheet;
4. A Declaration Under 37 C.F.R. § 1.63 in compliance with 37 C.F.R. § 1.497(a) and (b), 2 sheets;
5. An Assignment of Invention and of Letters Patent, 1 sheet, with Recordation Cover Sheet, Form PTO-1595, attached, 1 sheet; and,
6. An Authorization to Charge Applicant's Deposit Account No. 50-0760 in the amount of \$170 consisting of: 1) \$130 for payment of the surcharge for submitting the above-stated documents later than 30 months from the priority date; and, 2) \$40 payment of the fee for recording of one (1) patent application assignment

Please type a plus sign (+) inside this box → ☐

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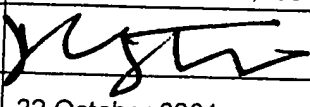
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/890,181	
	Filing Date	26 JULY 2001	
	First Named Inventor	LAYCOCK	
	Group Art Unit		
	Examiner Name		
Total Number of Pages in This Submission	14	Attorney Docket Number	LAL-C522-US

ENCLOSURES (check all that apply)

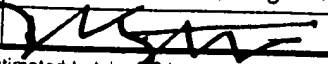
<input checked="" type="checkbox"/> Fee Transmittal Form	<input checked="" type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	return-receipt postcard (1 sheet);
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	cover letter (1 sheet);
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	recording cover sheet (1 sheet)
<input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Daniel C. Stelter Counsel for Applicant(s) Registration No. 40,830
Signature	
Date	22 October 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

Typed or printed name	Daniel C. Stelter, Registration No. 40,830
Signature	
Date	22 October 2001

Burden Hour Statement: This form is estimated to take 22 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 170

Complete if Known

Application Number 09/890,181
Filing Date 26 JULY 2001
First Named Inventor LAYCOCK
Examiner Name
Group Art Unit
Attorney Docket No. LAL-C522-US

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 50-0760
Deposit Account Name CINCINNATI MACHINE

- ☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims -20** = X =
Independent Claims -3** = X =
Multiple Dependent =

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
103 18	203 9	Claims in excess of 20	
102 84	202 42	Independent claims in excess of 3	
104 280	204 140	Multiple dependent claim, if not paid	
109 84	209 42	** Reissue independent claims over original patent	
110 18	210 9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	130
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	40
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 170

SUBMITTED BY

Name (Print/Type) Daniel C. Stelter

Signature

Registration No. (Attorney/Agent) 40,830

Complete (if applicable)

Telephone 513.841.7032

Date 22 October 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231